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NIH Restructured Application Forms and Instructions for Submission for FY2011 Funding

Below are excerpts from NOH Notice number: NOT-OD-09-149 dated September 15, 2009. The link to the full announcement can be found on our website under Research Administrative Services-For Your Information. You may also right click on the following link and select “open hyperlink” Restructured Application forms and Instructions for Submission for FY2011 Funding to view the entire document.

Please note that all new or resubmission applications targeting due dates on or after January 25, 2010 (for FY2011 funding consideration) must use the new application forms and instructions (even if the initial submission followed the current application forms and instructions).

Applications submitted using incorrect application forms (including applications that have an incorrect mix of old and new forms) will be delayed and may not be reviewed.

Research Plan
Three sections of the current Research Plan (Background and Significance, Preliminary Studies/Progress Report, and Research Design and Methods) will be consolidated into a new single section within the Research Plan entitled Research Strategy. The new Research Strategy section (a single PDF upload in the PHS 398 Research Plan Component of the SF 424 (R&R)), will be sub-divided into three parts: Significance, Innovation, and Approach. The Approach sub-section will include both Preliminary Studies for
New Applications and Progress Report for Renewal/Revision Applications (Refer to link for complete table listing of Table of Page Limits).

<table>
<thead>
<tr>
<th>Section of Application with Page Limits</th>
<th>Page Limits *</th>
</tr>
</thead>
<tbody>
<tr>
<td>Introduction to Resubmission Application For all Activity Codes, except Training (T, D) and K12</td>
<td>1 page</td>
</tr>
<tr>
<td>Introduction to Revision Application For all Activity Codes</td>
<td>1 page</td>
</tr>
<tr>
<td>Specific Aims For all Activity Codes that use an application form with the Specific Aims section</td>
<td>1 page</td>
</tr>
<tr>
<td>Research Strategy For Activity Codes [R03, R13/U13, R21, R36, R41, R43, Fellowships (F), SC2, SC3]</td>
<td>6 pages</td>
</tr>
</tbody>
</table>

**Resources**

The Facilities and Other Resources section will be changed to require a description of how the scientific environment will contribute to the probability of success of the project, unique features of the environment, and for Early Stage Investigators, the institutional investment in the success of the investigator (e.g. resources, classes, etc.). The Facilities and Other Resources section is part of the R&R Other Project Information in the SF 424 (R&R) application, and part of the Resources Format Page in the paper PHS 398 application.

**Biographical Sketch**

A new Personal Statement will be incorporated as Part A, changing the parts formerly called A, B, and C to Parts B, C, and D.

Applicants should limit the list of selected peer-reviewed publications to no more than 15. These 15 publications should be chosen on the basis of recency, importance to the field, and relevance to the proposed research.

**Due Dates for Current and New Forms**

The following table provides the final standard due dates for the current application format and the first due dates with the new format (refer to link for complete table listing of Activity Codes).

<table>
<thead>
<tr>
<th>Activity Code</th>
<th>Final Due Date for Current Forms</th>
<th>First Due Date for New Forms</th>
</tr>
</thead>
<tbody>
<tr>
<td>R01, U01</td>
<td>11/5/2009</td>
<td>2/5/2010</td>
</tr>
<tr>
<td>K (Career Development)</td>
<td>11/12/2009</td>
<td>2/12/2010</td>
</tr>
<tr>
<td>R03, R21, R33, R21/R33, R34, R36</td>
<td>11/16/2009</td>
<td>2/16/2010</td>
</tr>
</tbody>
</table>

Between now and December 2009, NIH will publish new Funding Opportunity Announcements (FOAs) without accompanying electronic application packages. By December 2009, NIH will post the new application packages in all active FOAs.

NIH will issue a Guide Notice when the new forms and instructions are available. New parent announcements will be issued at the same time that the new application packages become available.
Additionally, all active FOAs will be updated. The FOA changes will be completed at the same time that the new application packages and instructions are posted.

UB’s Vice President for Research Reiterates the 5-Day Submission Policy for Applications

In a recent letter, the VPR noted that PIs should plan to submit applications to Sponsored Program Services five **business days** before the funding agency deadline. The failure to adhere to this policy may mean that the submission of the grant application is in jeopardy. It is important that all necessary administrative information provided is correct and that it follows UB’s and the agency’s policies and procedures. SPS has instituted this policy so that there is adequate time to do a careful review of all proposals. Failing to comply with this policy, coupled with the large number of grant proposals being submitted with similar sponsor deadlines, may unfortunately result in proposals which cannot be submitted by the sponsor’s deadline. Please know that the Research Administrative Services office is here to assist you in meeting proposal submission deadlines.

To review the full policy, please right click on the following link and select “open hyperlink” [UB Proposal Submission Policy](#). You will also find this information on the RAS website: select the “For Your Information” tab and click on the link: UB Proposal Submission Policy.

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### Funding Opportunities from the NIH

#### Request for Applications (RFAs)

**2010 NIH Directors Pioneer Award Program (DP1)**
(RFA-RM-09-010)

NIH Roadmap Initiatives

Application Receipt Date(s): October 20, 2009

#### Program Announcements Reviewed in an Institute (PARs)

(special receipt, referral and/or review considerations)

**Cutting-Edge Basic Research Awards (CEBRA) (R21)**

PAR-09-222 Expiration date: 12/22/11

Application Receipt Date(s): For both non-AIDS and AIDS applications:

#### Program Announcements (PAs - R01s)

**Nutrition and Physical Activity Research to Promote Cardiovascular and Pulmonary Health (R01)**

Expiration date: 9/8/12

National Heart, Lung, and Blood Institute

National Center for Complementary and Alternative Medicine

National Institute of Nursing Research

Deadline date(s): Standard dates apply

**Studies of Energy Balance and Cancer in humans (R01)**

Expiration date: 5/8/12

National Cancer Institute

Deadline date(s): Standard dates apply
SPHHP Funding Opportunities Newsletter  

Health Services Research on the Prevention and Treatment Of Drug and Alcohol Abuse (R01)  
PA-08-263  Expiration date: 1/8/12  
National Institute on Drug Abuse (NIDA)  
Deadline date(s): Standard dates apply  

Diet-Induced Changes in Inflammation as Determinants Of Colon Cancer (R01)  
PA-08-210  Expiration date: 9/8/11  
National Cancer Institute  
Deadline date(s): Standard dates apply  

Research on the Economics of Diet, Activity, and Energy Balance (R01)  
PA-08-078  Expiration date: 1/8/11  
National Cancer Institute  
Deadline date(s): Standard dates apply  

Health Promotion Among Racial and Ethnic Minority Males (R01)  
PA-07-422  Expiration date: 9/8/10  
National Institute of Diabetes and Digestive and Kidney Diseases (NIKKD)  
National Heart, Lung, and Blood Institute  
Office of Behavioral and Social Sciences Research (OBSSR)  
Deadline date(s): Standard dates apply  

Screening and Brief Alcohol Interventions in Underage And Young Adult Populations (R01)  
PA-07-406  Expiration date: 7/6/10  
National Institute on Alcohol Abuse and Alcoholism  
Deadline date(s): Standard dates apply  

Identifying and Reducing Diabetes and Obesity Related Health Disparities within Healthcare Systems (R01)  
PA-07-388  Expiration date: 7/6/10  
National Institute of Diabetes and Digestive And Kidney Diseases  
Deadline date(s): Standard dates apply  

Program Announcements (PAs - R21s)

Nutrition and Physical Activity Research to Promote Cardiovascular and Pulmonary Health (R21)  
PA-09-244  National Heart, Lung, and Blood Institute  
National Institute of Nursing Research  
Deadline date(s): Standard dates apply  
http://grants.nih.gov/grants/guide/pa-files/PA-09-244.html

Tobacco Products Promoted to Reduce Harm (R21)  
PA-09-047  Expiration date: 5/8/12  
National Cancer Institute  
National Institute on Drug Abuse (NIDA)  
Deadline date(s): Standard dates apply  
UPDATE: The FOA for the ARRA 2009 HHS Prevention & Wellness Initiative: “Communities Putting Prevention to Work”

http://www07.grants.gov/search/search.do;jsessionid=HLXsK5NVSBVyNqs3Ny3Jy5L3JHTvK0bhcTZvpsYYGT4y1TzKkDZ1798842873?oppId=49571&mode=VIEW

The above URL (see http://grants.gov) is for the Funding Opportunities Announcement (FOA) for the HHS Prevention & Wellness Initiative. The entire FOA (62 pages) as well as a synopsis and the application form are available online. Please refer to this Web link for information on applying for the grant opportunity. You also may sign up to receive email notification of changes.

Communities and tribes can apply for $373 million in cooperative agreements. Awardees will be expected to address selected risk factors: a) obesity prevention, physical activity and nutrition, or b) tobacco prevention and control, (or both a and b), and implement population-based approaches such as policy, systems, and environmental changes across 5 evidence-based MAPPS strategies – Media, Access, Point of decision information, Price and, Social support services – in both communities and schools.

Funding Opportunities: Foundations

AMERICAN LUNG ASSOCIATION’S Independent Investigator’s Award: Biomedical Research Grant: $40,000/yr. Provides seed monies for investigators researching the mechanisms of lung disease and general lung biology. **Duration: up to two years**

The objective of the Biomedical Research Grant is to provide seed monies to investigators researching the mechanisms of lung disease and general lung biology. The American Lung Association is committed to its mission to save lives by improving lung health and preventing lung disease. The Association is focused on the following mission related Strategic Planning Goals:

- Eliminate tobacco use and tobacco-related lung diseases
- Improve the air we breathe so it will not cause or worsen lung disease
- Reduce the burden of lung disease on patients and their families

The American Lung Association is particularly interested in highly meritorious research projects focusing on these goals in the following areas: air pollution, asthma, COPD, lung cancer and tobacco.

**APPLICATION DEADLINE**

The deadline for online submission is **October 21, 2009, 11:59 p.m. Eastern Time.**

For more information: [http://www.lungusa.org](http://www.lungusa.org)

AMERICAN CANCER SOCIETY: Research Scholar Grants in Cancer Control and Prevention: Health Services and Health Policy Research. Support for investigator-initiated research projects in health services and health policy research. Awards are may be for four years and for as much as $200,000 per year (direct costs), plus 20% allowable indirect costs. **APPLICATION DEADLINE:** The submission deadline is October 15th at 4:59 p.m.

For more information: [http://www.cancer.org/docroot/RES/content/RES_5_2x_Research_Scholar_Grants_For_Health_Services_andHealth_Policy_and_Outcomes_Research.asp?sitearea=RES](http://www.cancer.org/docroot/RES/content/RES_5_2x_Research_Scholar_Grants_For_Health_Services_andHealth_Policy_and_Outcomes_Research.asp?sitearea=RES)

**Words to live by**…”I can accept failure, everyone fails at something. But I can’t accept not trying.” – Michael Jordan
Autumn Fires  
Robert Louis Stevenson (1913)

In the other gardens  
And all up the vale,  
From the autumn bonfires  
See the smoke trail!

Pleasant summer over  
And all the summer flowers,  
The red fire blazes,  
The gray smoke towers.

Sing a song of seasons!  
Something bright in all!  
Flowers in the summer,  
Fires in the fall!

Please email your comments and suggestions to Traci Jackson, Director, Research Administrative Services  
jacksont@buffalo.edu